



April 25, 2022

1. The Dy. General Manager  
Corporate Relationship Dept.  
BSE Ltd.  
Phiroze Jeejeebhoy Towers,  
Dalal Street  
**MUMBAI - 400 001**  
Scrip Code No: 532301
2. The Secretary  
National Stock Exchange of India Ltd  
Exchange Plaza, 5<sup>th</sup> Floor  
Plot No.C/1, G.Block  
Bandra-Kurla Complex, Bandra (E)  
**MUMBAI – 400 051**  
Scrip symbol: TATACOFFEE

Dear Sir,

**Re: Submission of Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 for the financial year ended March 31, 2022**

As required under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 we are forwarding herewith a Certificate of Practicing Company Secretary for the financial year ended March 31, 2022.

Thanking you,

For Tata Coffee Limited

**Anantha Murthy N**  
**Head – Legal & Company Secretary**

Encl: as above

**TATA COFFEE LIMITED**

57 Railway Parallel Road, Kumara Park West Bengaluru 560 020  
Tel: 91 80 23560695 - 97 23561976 - 81 Fax: 91 80 23341843  
Registered Office: Pollibetta 571 215 South Kodagu Karnataka India  
Corporate Identity Number (CIN) -L01131KA1943PLC000833  
Website address - [www.tatacoffee.com](http://www.tatacoffee.com)

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31<sup>st</sup> MARCH, 2022.**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8<sup>th</sup> June, 2018 and vide its press release dated 3<sup>rd</sup> December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated to transfer securities only in dematerialized form w.e.f. 01<sup>st</sup> April, 2019. SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01<sup>st</sup> April, 2019. Further, SEBI vide its circular dated 07<sup>th</sup> September, 2020 has fixed 31<sup>st</sup> March, 2021 as the cut-off date for re-lodgement of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02<sup>nd</sup> December, 2020.

SEBI vide gazette notification dated 24<sup>th</sup> January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January, 2022 has mandated that the companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/ folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to TATA COFFEE LIMITED ('the Company') maintained and provided electronically by TSR Darashaw Consultants Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the financial year ended 31<sup>st</sup> March, 2022 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that:

A) 1.a. As informed to us, there were no requests relating to transfers received during the financial year ended 31<sup>st</sup> March, 2022.

1. b. The Company has delivered/sent during year ended on 31<sup>st</sup> March, 2022, share certificates/ letters of confirmation as per SEBI's operational guidelines, for execution of transmission of securities, deletion of name and renewal, received during the financial year ended 31<sup>st</sup> March, 2022, within the prescribed time from the respective date of lodgement. As informed to us there were no requests relating to transposition of securities, sub-division (split), consolidation, exchange or endorsement of calls/allotment monies received during the said period.

B) Any other information, if applicable: --

Place: Mumbai  
Date: April 15, 2022

**For Parikh & Associates**  
Company Secretaries

P N

Digitally signed  
by P N Parikh  
Date:

Parikh

2022.04.15  
11:40:11 +05'30'

Signature:

P. N. Parikh  
FCS No: 327 CP No: 1228  
UDIN: F000327D000121162  
PR No.: 1129/2021